CapMetro

DBE Schedule C & Other Subcontract Service

Introduction to Schedule C

The contractor must submit a completed **DBE Schedule C** form with their bid submission when utilizing subcontractors for Other Subcontract Services. *The form will be completed and signed by the Contractor.*

The Schedule C form for **Other Subcontract Services** is used to document a DBE subcontractor's participation in non-hauling aspects of a contract. This form outlines the specific services the DBE will provide, the dollar value of the subcontract, and the percentage of the contract allocated to these services. Both the prime contractor and the DBE must complete and sign the form to confirm their agreement and the DBE's role in fulfilling the contract requirements.

Reference Exhibit D, Section 3 (Credit Towards The Contract Goal), Part h, which addresses how the contractor may count towards the DBE goal when using a DBE for hauling or trucking services.

Disclaimer: If you are completing this as a result of an active contract or procurement, ensure that the purchaser, contract administrator, and/or project manager are copied.

DBE Schedule C & Other Subcontract Services

CapMetro

SCHEDULE C.2: Disadvantaged Business Enterprise (DBE)
Subcontractor Participation & Intent to Perform (Other Subcontract Services)

11/2024

DO NOT RECREATE OR CHANGE THIS DOCUMENT

Instructions: The Offeror (i.e., prime contractor) shall complete <u>all applicable information in this form</u> and the Good Faith Effort (GFE) Form to show its GFE towards meeting the contract goal assigned to the contract and include DBEs on a contract. This form will be completed for Other Subcontract Services that include but is not limited to the following: (Architectural & Engineering, Information Technology, Landscaping, Construction, Vehicle Maintenance, Janitorial, etc.). This form <u>will not be used</u> for subcontractors that provide hauling/trucking services or material supplies. Those subcontractors which are listed on this form as DBEs must have current DBE certification by a member of the Texas Unified Certification Program at the time of contract execution to Offeror (see Exhibit D, Section 7). Additionally, the Intent to Perform must be completed by the Prime Contractor and DBE subcontractor(s) listed in this form.

Prime Contractor:	Contact Name:	Ethnic/Gender Code:
		A) Asian-Pacific American
Project Name:	Title:	B) Black American H) Hispanic American
IFB/RFP/SOQ#:	Phone#:	N) Native American
II B/KI F/30Q#.	r none#.	SA) Subcontinental Asian American
Firm's Age:	Email Address:	NM) Non-Minority (Woman)
		M) Male
Firm's Annual Gross Receipts (\$):	Address:	F) Female

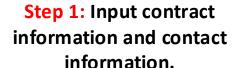
Subcontractor Section (Other Subcontract Services)

Subcontract Services include but are not limited to the following: (Architectural & Engineering (A&E), Information Technology, Landscaping,
Construction, Vehicle Maintenance, Janitorial, etc.)

COMPLETE THIS FORM FOR ALL SUBCONTRACTORS IDENTIFIED FOR THIS PROJECT. YOU MAY USE MULTIPLE FORMS.

In accordance with 49 CFR (Code of Federal Regulations) Part 26, §26.53 & §26.55, when making good faith efforts DBE firms used for credit towards the contract goal must have a current certification status with a TUCP Certifying Agency (see Exhibit D, Section 7) at the time of execution of the contract. The DBE must be certified in the 6-digit NAIS code for the proposed scope of work to receive credit towards the DBE contract goal. CapMetro will also review the proposed DBE participation to ensure that it will perform a commercially useful function.

Other Profes	Other Professional Services – Complete this section if using Other Subcontract Services (Use another form if needed)										
Company Name	Contact Person, Phone#, & Address, Email	1 st or 2 rd Tier Sub.	DBE or Non- DBE	Ethnic Code/ Gender (Leave Blank if non-DBE)	Age of Firm	Annual Gross Receipts (\$)	Work description & 6-digit NAICS Code	Total \$ Commitment (Use % for A&E or other professional services)	Total % Commitment		



The Ethnic/Gender Code is available and will be used in the table below.





SCHEDULE C.2: Disadvantaged Business Enterprise (DBE)
Subcontractor Participation & Intent to Perform (Other Subcontract Services)

11/2024

DO NOT RECREATE OR CHANGE THIS DOCUMENT

Instructions: The Offeror (i.e., prime contractor) shall complete <u>all applicable information in this form</u> and the Good Faith Effort (GFE) Form to show its GFE towards meeting the contract goal assigned to the contract and include DBEs on a contract. This form will be completed for Other Subcontract Services that include but is not limited to the following: (Architectural & Engineering, Information Technology, Landscaping, Construction, Vehicle Maintenance, Janitorial, etc.). This form <u>will not be used</u> for subcontractors that provide hauling/trucking services or material supplies. Those subcontractors which are listed on this form as DBEs must have current DBE certification by a member of the Texas Unified Certification Program at the time of contract execution to Offeror (see Exhibit D, Section 7). Additionally, the Intent to Perform must be completed by the Prime Contractor and DBE subcontractor(s) listed in this form.

Prime Contractor:	Contact Name:	Ethnic/Gender Code: A) Asian-Pacific American
Project Name:	Title:	B) Black American
		H) Hispanic American
IFB/RFP/SOQ#:	Phone#:	N) Native American
Firm's Age.		SA) Subcontinental Asian American
Firm's Age:	Email Address:	NM) Non-Minority (Woman)
Firm's Annual Gross Receipts (\$):	Address:	M) Male F) Female

Subcontractor Section (Other Subcontract Services)

Subcontract Services include but are not limited to the following: (Architectural & Engineering (A&E), Information Technology, Landscaping, Construction, Vehicle Maintenance, Janitorial, etc.)

COMPLETE THIS FORM FOR ALL SUBCONTRACTORS IDENTIFIED FOR THIS PROJECT. YOU MAY USE MULTIPLE FORMS.

In accordance with 49 CFR (Code of Federal Regulations) Part 26, §26.53 & §26.55, when making good faith efforts DBE firms used for credit towards the contract goal must have a current certification status with a TUCP Certifying Agency (see Exhibit D, Section 7) at the time of execution of the contract. The DBE must be certified in the 6-digit NAIS code for the proposed scope of work to receive credit towards the DBE contract goal. CapMetro will also review the proposed DBE participation to ensure that it will perform a commercially useful function.

Other Profes	Other Professional Services – Complete this section if using Other Subcontract Services (Use another form if needed)										
Company Name	Contact Person, Phone#, & Address, Email	1 st or 2 rd Tier Sub.	DBE or Non- DBE	Ethnic Code/ Gender (Leave Blank if non-DBE)	Age of Firm	Annual Gross Receipts (\$)	Work description & 6-digit NAICS Code	Total \$ Commitment (Use % for A&E or other professional services)	Total % Commitment		

Multiple forms may be used based on the number of subcontractors.

Ensure DBEs share documentation to confirm certification. You can search for DBEs using TxDOT's Certified Directory.



SCHEDULE C.2: Disadvantaged Business Enterprise (DBE)
Subcontractor Participation & Intent to Perform (Other Subcontract Services)

11/2024

DO NOT RECREATE OR CHANGE THIS DOCUMENT

Instructions: The Offeror (i.e., prime contractor) shall complete <u>all applicable information in this form</u> and the Good Faith Effort (GFE) Form to show its GFE towards meeting the contract goal assigned to the contract and include DBEs on a contract. This form will be completed for Other Subcontract Services that include but is not limited to the following: (Architectural & Engineering, Information Technology, Landscaping, Construction, Vehicle Maintenance, Janitorial, etc.). This form <u>will not be used</u> for subcontractors that provide hauling/trucking services or material supplies. Those subcontractors which are listed on this form as DBEs must have current DBE certification by a member of the Texas Unified Certification Program at the time of contract execution to Offeror (see Exhibit D, Section 7). Additionally, the Intent to Perform must be completed by the Prime Contractor and DBE subcontractor(s) listed in this form.

Prime Contractor:	Contact Name:	Ethnic/Gender Code:
		A) Asian-Pacific American
Project Name:	Title:	B) Black American H) Hispanic American
IFB/RFP/SOQ#:	Phone#:	N) Native American
II B/KI F/30Q#.	r none#.	SA) Subcontinental Asian American
Firm's Age:	Email Address:	NM) Non-Minority (Woman)
		M) Male
Firm's Annual Gross Receipts (\$):	Address:	F) Female

Subcontractor Section (Other Subcontract Services)

Subcontract Services include but are not limited to the following: (Architectural & Engineering (A&E), Information Technology, Landscaping,
Construction, Vehicle Maintenance, Janitorial, etc.)

COMPLETE THIS FORM FOR ALL SUBCONTRACTORS IDENTIFIED FOR THIS PROJECT. YOU MAY USE MULTIPLE FORMS.

In accordance with 49 CFR (Code of Federal Regulations) Part 26, §26.53 & §26.55, when making good faith efforts DBE firms used for credit towards the contract goal must have a current certification status with a TUCP Certifying Agency (see Exhibit D, Section 7) at the time of execution of the contract. The DBE must be certified in the 6-digit NAIS code for the proposed scope of work to receive credit towards the DBE contract goal. CapMetro will also review the proposed DBE participation to ensure that it will perform a commercially useful function.

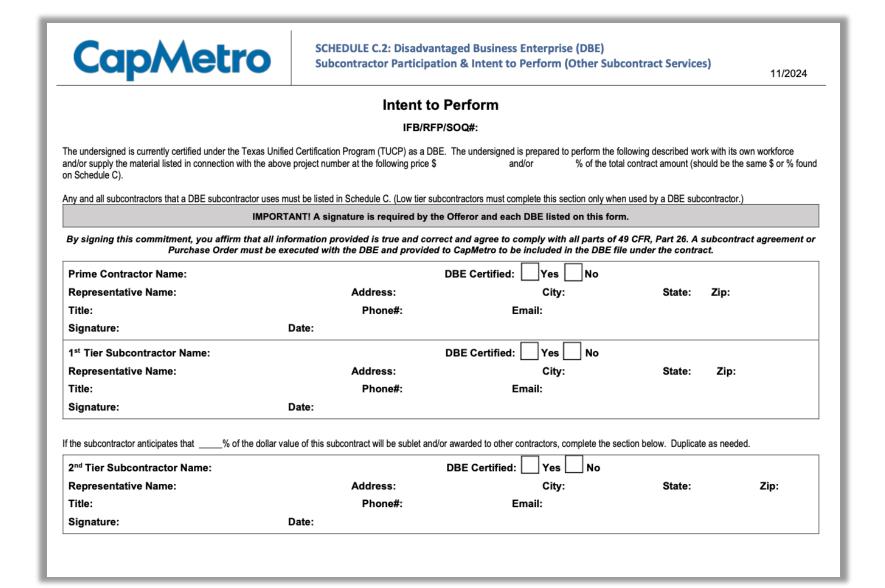
Other Profes	Other Professional Services – Complete this section if using Other Subcontract Services (Use another form if needed)										
Company Name	Contact Person, Phone#, & Address, Email	1 st or 2 rd Tier Sub.	DBE or Non- DBE	Ethnic Code/ Gender (Leave Blank if non-DBE)	Age of Firm	Annual Gross Receipts (\$)	Work description & 6-digit NAICS Code	Total \$ Commitment (Use % for A&E or other professional services)	Total % Commitment		

Step 2: Complete this section for each subcontractor. Please provide a contact person for the subcontractor in case additional information is needed.



Certified Directory.

*Include Annual Gross Receipts amounts and age of firm





Step 3: Input the total dollar (\$) & percentage (%) of DBE participation.

CapMetro	SCHEDULE C.2: Disadvantage Subcontractor Participation	ed Business Enterprise (DBE) & Intent to Perform (Other Sub	contract Services)	11/2024
	Intent to Pe	rform		
	IFB/RFP/SO	Q#:		
The undersigned is currently certified under the Texas Ur and/or supply the material listed in connection with the at on Schedule C).			ollowing described work with al contract amount (should be	
any and all subcontractors that a DBE subcontractor use	s must be listed in Schedule C. (Low tier subcontr	actors must complete this section only whe	en used by a DBE subcontrac	tor.)
IMPO	RTANT! A signature is required by the Off	eror and each DBE listed on this for	m.	
By signing this commitment, you affirm that all Purchase Order must be	information provided is true and correct a executed with the DBE and provided to C			ntract agreement or
Prime Contractor Name:	DB	E Certified: Yes No		
Representative Name:	Address:	City:	State: Zip:	
Title:	Phone#:	Email:		
Signature:	Date:			
1st Tier Subcontractor Name:	DB	E Certified: Yes No		
Representative Name:	Address:	City:	State: Zip) :
Title:	Phone#:	Email:		
Signature:	Date:			
the subcontractor anticipates that% of the dollar 2 nd Tier Subcontractor Name:		arded to other contractors, complete the se	ection below. Duplicate as ne	eded.
Representative Name:	Address:	City:	State:	Zip:
Title:	Phone#:	Email:		
Signature:	Date:			

Step 6: The Prime
Contractor and DBE will
sign this section.

Checking the "Yes" under

DBE certification is an
indicator that the
company has been
confirmed as a certified

DBE.

Contact

Send an email to DBE@capmetro.org for assistance.

CapMetro

Thank you!